

Disclosure Information

23/01/2022

About Me

My name:	Dan Lewis
My FSP number:	524726
My Contact details:	Address: Unit 7B Airport Business Park, 92 Russley Rd
	Phone: 027 778 5754
	Email: dan@fwg.nz

I am a financial adviser and provide advice on behalf of FWGROUP LTD T/A Financial Wellness Group FSP1001795 who are licensed as a Financial Advice Provider by the Financial Markets Authority.

Both Financial Wellness Group and I are registered on the financial service providers registry which can be viewed at fsp-register.companiesoffice.govt.nz

Relevant history / Qualifications

[I have been in the industry for 15 years. I have a law degree (LLB-KZN) and Postgraduate Diploma Financial Planning (UOVS, SA). I have completed the NZ Certificate in Financial Services Level 5.

My Services

Personal Risk (Life, Income, Disability & Health Insurances)

I provide personalised advice that takes into account your individual circumstances to determine the personal risk products that best meet your personal goals and needs.

My recommendation is limited to the products offered by the following companies:

AIA

To ensure that advice remains accessible, Financial Wellness Group does not charge an upfront fee for providing personal risk insurance advice or for implementing advice if you decide to proceed.

In place of an upfront fee, Financial Wellness Group is paid a commission by the recommended insurance provider from the premium they charge you for your cover. This commission will range from 170% to 190% of the first years premium, depending on which insurance company you take out cover with. I will then receive a servicing commission of 7% of the annual premium for the life of the policy. I will confirm the amount of commission [Financial Wellness Group] will receive when I provide my recommendation to you.

KiwiSaver & related managed investment products

I am able to provide a personalised advice service for KiwiSaver and Investment products. This involves discussing what level of financial risk you are comfortable with and recommending a fund type that best matches your attitude toward risk and the length of time until you plan on accessing your funds (from conservative through to aggressive).

Once you confirm what type of fund you wish proceed with, I will show you the relevant funds available to you through the providers I work with:

Depending on which provider you choose to go with, Financial Wellness Group can be paid either an upfront fee of between \$1000 to \$5000 and/or commission of between 0.5% and 1.5% of funds under management. I will confirm how much [Financial Wellness Group] will receive when I provide my recommendation.

I Work For You

As a Financial Adviser, it is my duty to abide by the Code of Conduct for Financial Service Providers.

Although I am paid by the providers for the services I provide, it is you that I work for. To ensure I put your interests ahead of my own, I follow a 6-step advice process when providing personalised financial advice which is based on your individual goals and needs.

I provide all my recommendations in writing so that you have the opportunity to ask any questions you might have before implementing any of my advice.

We take part in a quality assurance programme to review our advice process on an annual basis to ensure it is based on the needs of our clients.

If you are unhappy with any aspect of my service, please tell me so that we can manage it through my internal complaint process.

If we are unable to address your concerns, you can contact my disputes resolution scheme, at no cost to you:

Scheme: Financial Dispute Resolution Scheme
Address: L 4, 142 Lambton Quay, Wellington 6011
Telephone number: 0508 337 337
Email address: enquiries@fdrs.org.nz